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Receipt  
#6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of ARNOLD et al.

Serial No. 09/321,920

Examiner:

Filed: May 29, 1999

Group Art Unit: 2837

For: MUSICAL INSTRUMENT SYSTEM

TRANSMITTAL LETTER

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on 7/28/99

[Signature]  
Date 7/28

Reg. No. 34290

Application Processing Division  
Customer Correction Branch  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Please find enclosed for filing:

- X Request for Corrected Official Filing Receipt
- X Copy of Official Filing Receipt
- X Copy of return postcard dated May 28, 1999.
- X Please charge any deficiencies or credit any overpayment to Deposit Account No. 17-0055.

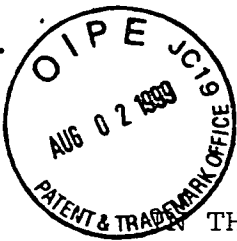
This Transmittal Letter is submitted in duplicate.

Respectfully submitted,

Date: 7/28/99

[Signature]  
Joseph W. Bain  
Registration No. 34,290  
Scott D. Paul  
Registration No. 42,984  
Quarles & Brady LLP  
Esperante Building, Suite 400  
222 Lakeview Avenue  
Post Office Box 3188  
West Palm Beach, FL 33402-3188  
Telephone: (561) 653-5000

Docket No: 6145-33  
WP/144195



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of ARNOLD et al.

Serial No. 09/321,920

Examiner:

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Group Art Unit: 2837

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Date 7/28/99

Reg. No. 34290

Application Processing Division  
Customer Correction Branch  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Please find enclosed a copy of the Official Filing Receipt received June 30, 1999. Applicants respectfully request a corrected Official Filing Receipt which rectifies the following error:

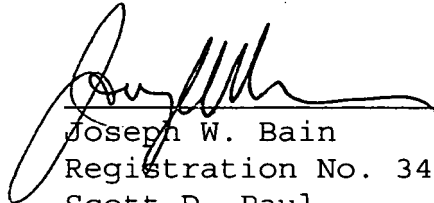
The filing date "May 29, 1999" should read --May 28, 1999--.

Applicants filed the application on May 28, 1999, a copy of the return stamped postcard is attached indicating May 28, 1999 as the date received and filing date assigned.

Correction of the error and prompt forwarding of a corrected filing receipt is respectfully requested.

Respectfully submitted,

Date: 7/28/99

  
\_\_\_\_\_  
Joseph W. Bain

Registration No. 34,290

Scott D. Paul

Registration No. 42,984

Quarles & Brady LLP

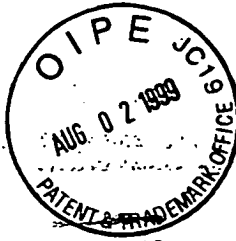
222 Lakeview Ave., Suite 400

Post Office Box 3188

West Palm Beach, FL 33402-3188

Telephone: (561) 653-5000

Docket No. 6145-55  
WP/144194



Serial No.: \_\_\_\_\_ Docket No.: 6145-55

Client: Van Koerering

Assistant Commissioner  
for Patents

Sir:

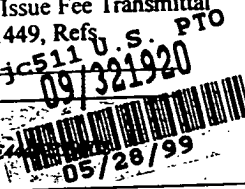
Please acknowledge receipt of the  
paper(s) noted hereon by stamping the  
date received and returning this card to  
the undersigned.

Respectfully,

*Quarles & Brady*

- ☒ Application
- ☐ Submission of Formal Drawings
- ☒ Sheets of Drawing 27 (3 sets)
- ☒ Declaration/POA Signed
- ☒ Fee (\$ \_\_\_\_\_)
- ☒ Amendment/Response Preliminary
- ☐ Request for Extension Time
- ☐ Assignment & Recordation Coversheet
- ☒ Verified Statement/Small Entity Status
- ☒ Transmittal Letter
- ☐ PTOL-85B/Issue Fee Transmittal
- ☐ IDS, PTO-1449, Refs
- ☐ \_\_\_\_\_
- ☐ \_\_\_\_\_
- ☐ \_\_\_\_\_
- ☐ \_\_\_\_\_

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**JWB.**

FILING RECEIPT

11/29/99



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/321,920	05/29/99	2837	\$0.00	6145-55	27	30	1

QUARLES & BRADY LLP  
ESPERANTE BUILDING SUITE 400  
222 LAKEVIEW AVENUE  
POST OFFICE BOX 3188  
WEST PALM BEACH FL 33402-3188



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

ROB C. ARNOLD, DES MOINES, IA; WARREN W. WESTLUND II,  
AMES, IA; DAVID VAN KOEVERING, HERMITAGE, TN; ROBERT D.  
LAWSON, DES MOINES, IA; LANCE E. LYDA, HURST, TX;  
KENNETH R. NOYCE, WEST DES MOINES, IA; ROBERT PHILLIPS,  
NORTHBROOK, IL; JOHN M. PURSEY, DES MOINES, IA; GARY L.  
SNETHEN, DES MOINES, IA.

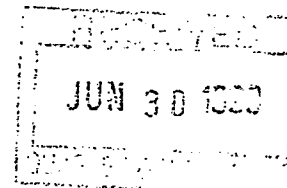
CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CON OF 08/879,678 06/23/97 PAT 5,908,997  
PROVISIONAL APPLICATION NO. 60/020,601 06/24/96  
PROVISIONAL APPLICATION NO. 60/021,522 07/11/96

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 06/23/99

TITLE  
MUSICAL INSTRUMENT SYSTEM

PRELIMINARY CLASS: 084



DATA ENTRY BY: DADE, JOAN

TEAM: 03 DATE: 06/23/99

|||||



#/Sector

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of ARNOLD et al.

Serial No. 09/321,920

Examiner:

Filed: May 29, 1999

Group Art Unit: 2837

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Joseph W. Bain  
Date 7/28/99

Reg. No. 34200

Attn: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Please find enclosed for filing:

- X Response to Notice of Missing Parts
- X Copy of Notice of Missing Parts
- X Information Disclosure Statement, PTO-1449
- X Please charge any deficiencies or credit any overpayment to Deposit Account No. 17-0055.

This Transmittal Letter is submitted in duplicate.

Respectfully submitted,

Date: 7/28/99

Joseph W. Bain

Registration No. 34,290

Scott D. Paul

Registration No. 42,984

Quarles & Brady LLP

Esperante Building, Suite 400

222 Lakeview Avenue

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West Palm Beach, FL 33402-3188

Telephone: (561) 653-5000

Docket No: 6145-33

WP/144197



#3

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of ARNOLD et al.

Serial No. 09/321,920

Examiner:

Filed: May 29, 1999

Group Art Unit: 2837

For: MUSICAL INSTRUMENT SYSTEM

RESPONSE TO NOTICE OF MISSING PARTS AND PAYMENT OF FILING FEE

## CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being  
deposited with the U.S. Postal Service as First Class mail  
in an envelope addressed to Assistant Commissioner for  
Patents, Washington, D.C. 20231 on 7/29/99

[Signature]  
Date 7/29/99

Reg. No. 34200

Attn: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In the above-identified application, Applicants have received a Notice To File Missing Parts Of Application (Filing Date Granted) form PTO-1533, mailed June 24, 1999, indicating that the above-identified application filed on May 29, 1999 did not include the filing fee. Applicants hereby submit the appropriate filing fee of \$380.00 and \$90.00 for ten extra claims. Applicants also submit herewith the surcharge of \$65.00 required under 37 C.F.R. §1.16(e) and a copy of the Notice To File Missing Parts dated June 24, 1999.

Applicants request examination on the merits.

Respectfully submitted,

Date: 7/29/99

[Signature]  
Joseph W. Bain  
Registration No. 34,290  
Scott D. Paul  
Registration No. 42,984  
Quarles & Brady LLP  
222 Lakeview Ave., Suite 400  
Post Office Box 3188  
West Palm Beach, FL 33402-3188  
Telephone: (561) 653-5000

Docket No. 6145-55